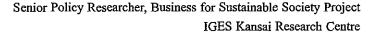


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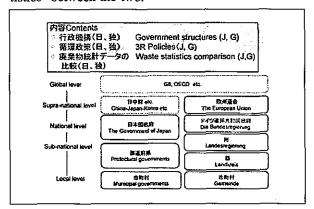
"3R Policy in Japan and Germany"

Yasuhiro Kanda





Today, I would like to outline the "governmental structures" responsible for 3R policy in Japan and Germany, and compare "3R policies" and "waste statistics" between the two.



1 Governmental structures (Japan)

3R policies are developed on diverse levels from global to local.

As examples of Japan, I have chosen the activities conducted on the supra-national level by Japan, China and Korea, on the national level by the Japanese national government, on the prefectural level by Hyogo and on the local level by Himeji City. I chose Himeji because that is a core city of the Hyogo Eco Town project.

In Germany, I have chosen the European Union, Die Bundesregierung, Nordrhein-Westfalen (NRW) as a federal state and Dusseldorf, which functions as both a district and municipality. Dusseldorf has about the same population and size as Himeji, therefore I chose it.

As an activity of the 3R policies on the global level, the 3R Initiative was agreed to at the G8 Summit in June 2004, and a Ministerial Conference of the 3R Initiative took place in Tokyo in April 2005, with 20 countries in attendance. OECD prepared a guidance manual on Extended Producer Responsibility (EPR) in 2001 and also an environmental performance review, indicators and data collection manual for governments. In Asia, the Environment Ministries of Japan, China and Korea hold a meeting every year. At this year's meeting, they agreed to strengthen cooperation towards building recycle-oriented societies and economies.

The Japanese government consists of a Cabinet and 11 ministries. At the core of the 3R policies is the Ministry of the Environment and the Waste Management and Recycling Department is in the ministry.

In Japan's case, multiple ministries develop 3R policies. The Ministry of Economy, Trade and Indus-





try is responsible for coordination with the various industrial sectors and resource and energy policy. The Ministry of Agriculture, Forestry and Fisheries heads up biomass policy. The Ministry of Land, Infrastructure and Transport has jurisdiction over the construction industry and transportation industry.



Let us next look at the structure of the Hyogo Prefectural Government. It is made up of bureaus and departments that roughly correspond to the ministries of Japan, one of which is the Environment Bureau. The heart of 3R is the Environment Improvement Division, but biomass is the responsibility of the Agriculture, Forestry and Fisheries Department, as multiple bureaus and departments overlap one another in conducting 3R activities. And, one organization that is related to the Environment Bureau is the Hyogo Prefectural Environment Create Center Public Corporation.

This is an example of city-level government, Himeji city in this particular case. There is an Environment Bureau, and the Recycle Promotion Division



spearheads 3R policy. The Industry Bureau includes agriculture, forestry, fisheries and industry.

2 Governmental structures (Germany)



Let us next look at government in Germany. I will first discuss the European Union. The European Union (EU) has the European Parliament and the Council of the European Union, and then there is the European Commission that shoulders the administrative functions.

Each administrative area of the European Commission has a Directorate General (DG); for the environment, it's the Environment Directorate General.

One can find out roughly what other directorate generals are doing about environmental policy by visiting the environmental page of their websites, but often those links jump to the homepage of the Environment Directorate General, so the EU's environmental policy seems to be relatively unified.

Next is the structure of the German Federal Government. In Germany, the Bundesumweltamt that





implements policy is separate of the Federal Ministry for the Environment, Nature Conservation and Nuclear Safety. What is very interesting is that the Federal Ministry for the Environment is in charge of resources and energy as well. They oversee nuclear power stations and renewable energies. However, regulations on the energy supply business come under the jurisdiction of the Federal Ministry of Economics and Labor, which equates to Japan's Ministry of Economy, Trade and Industry.

For what regards 3R policies, a bureau of the Federal Ministry for the Environment, Nature Conservation and Nuclear Safety named WA for keywords (water economy, waste economy and land conservation) is responsible. Another interesting point is the word Abfallwirtschaf, translated as "waste economy", used in Germany. This term is used widely and not just by the federal government. It would seem that, in Germany, the waste problems are recognized as economic problems.



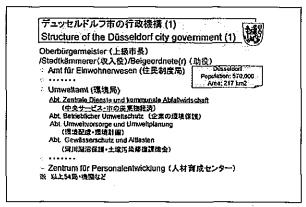
Let us next look at the governmental structure of

the NRW state. Germany is a federation of states, so state governments have the basic functions of the nation. States are involved in energy and justice, which is something you do not see in Japan's prefectures. The environmental administration lumps together nature conservation, agriculture and consumer protection.



This is the internal structure of the Ministerium fur Umwelt und Naturschutz, Landwirtschaft und Verbraucherschutz of NRW. It is divided into seven organizations, I to VII. 3R policies are the responsibility of the fourth organization, the Abfallwirtschaft, Bodenschutz, Wasserwirtschaft.

Two policy implementing agencies related to this ministry are the state's Landesumweltamt and Effizienzagentur NRW that runs PIUS, which will be discussed in more detail in session 2.

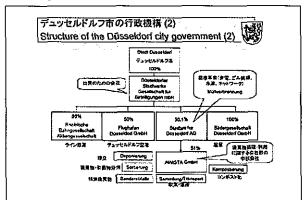


Let us look at the governmental structure of the Dusseldorf as an example of a city. Dusseldorf is an independent city that functions as both a district and municipality. The mayor is called Oberburgermeister.



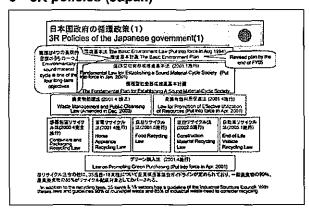
They have more than 54 bureaus and organizations, each of which performs different jobs.

One of the bureaus is the Umweltamt and one of the organizations underneath it takes care of waste economy, etc.



As in Japan, the city is responsible for the treatment of municipal waste. This figure shows the city-owned companies that take care of the waste treatment business in Dusseldorf and their ownership shares. In the case of Dusseldorf, power generation, waterworks and so forth are handled by a public corporation in which the city owns 50.1% via an investment company. The core entity involved with waste treatment is AWISTA, which is 51% owned by the public corporation. So, the companies and systems are built by sharing capital. The network of companies handles waste treatment in the city.

3 3R policies (Japan)



Next, I would like to look at the 3R policies of Japan.

The crux of Japan's 3R policies is the Basic Environment Law, based on which the country drafts a Basic Environment Plan. The current plan sets four longterm targets, one of which is the "environmentally sound material cycling". Directly concerning 3R policies are the Fundamental Law for Establishing a Sound Material-Cycle Society and the Fundamental Plan for Establishing A Sound Material-Cycle Society that is based upon it. There are laws governing resources that serve as the input of economic activity as well as the output, which is waste, and recycling of the various materials. They are the Containers and Packaging Recycling Law, Home Appliance Recycling Law, Food Recycling Law, Construction Material Recycling Law and End-of-Life Vehicle Recycling Law. And, there is a Law on Promoting Green Purchasing so that governments, large and small, promote green purchasing in their consumer behavior.

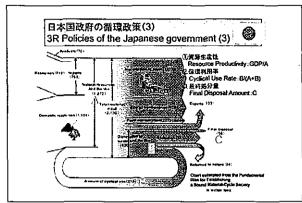


There are two kinds of numerical targets set forth in the Fundamental Plan for Establishing A Sound Material-Cycle Society; one is for material flow, while the other is for efforts.

Material flow targets specify three types of targets in "resource productivity", "cyclical use rate" and "final disposal amount".

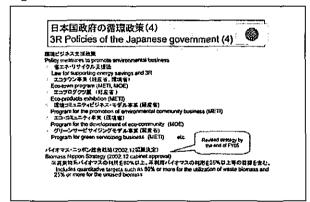
Effort targets are set for the "reduction of waste per capita per day", "doubling the size of related markets and jobs" and so forth.

The next slide shows material flows in Japan. It shows how the three material flow targets I just mentioned fit in.



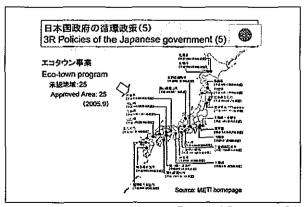
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The Wuppertal Institute has been studying material flows for some time, so this is an area of specialty for them. In Japan, the National Institute for Environmental Studies is involved with this and is researching material flows via an international network.



Japan also develops policies from the perspective of promoting business rather than regulations.

The Ministry of Economy, Trade and Industry and the Ministry of the Environment have various support-

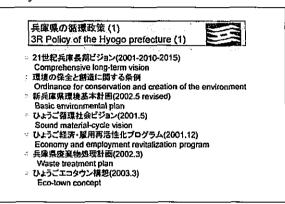


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ing policy measures. For example, there are the "eco products exhibition", "eco community program" and "green servicizing business program".

And, for what concerns biomass, the Cabinet adopted a Biomass Nippon Strategy in 2000.

This is a map of eco-town projects, one of the policy measures I just mentioned. Currently, 25 locations have been approved by the Ministry of the Environment and the Ministry of Economy, Trade and Industry.



Here are the 3R policy and related measures of Hyogo Prefecture. The policies of local governments basically comply with national policy. Also, local governments in Japan draft long-term comprehensive plans, which in Hyogo Prefecture is the "21st Century Long-Term Vision".

The Ordinance for conservation and creation of the environment compares to the Basic Environment Law of Japan. Hyogo's equivalent of the Basic Environment Plan is the "New Hyogo Basic Environmental Plan", while their version of a Fundamental Plan for Establishing A Sound Material-Cycle Society is the "Hyogo Sound Material-Cycle Vision".

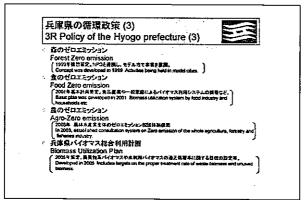
Other than that, there are programs for economics and employment, waste treatment plans, and so forth. Within this structure of policies and programs is the Hyogo Eco Town Concept.

The slide lists some other initiatives. For example, there are the "promotion of 5R lifestyles (3Rs + Refuse and Repair)", "support for establishing container collection systems in community cooperative ways"

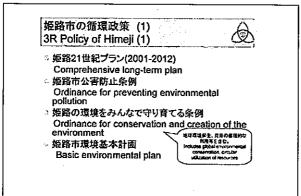
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and "guidance to waste generating companies".

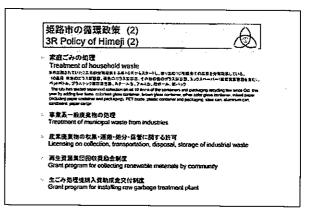


This slide shows some 3R programs for agriculture, forestry and biomass in the "Forest Zero Emission", "Food Zero Emission", "Agro-Zero Emission" and "Hyogo Biomass Utilization Plan".



The long-term comprehensive plan of Himeji City is the "Himeji 21st Century Plan". Moreover, they have two ordinances on pollution and the environment, and have adopted the "Himeji Basic Environmental Plan".

This slide explains specific operational aspects of



Himeji's 3R policy. In regards to waste treatment, Himeji has started sorting and collecting ten types of containers and packaging waste. Raw waste such as food scraps are incinerated as combustible waste.

4 3R policies (Germany)



Next, let us look at 3R policy in the European Union. The European Union develops environmental programs in line with the Sixth Environmental Action Programme and the EU Strategy for Sustainable Development. The Action Plan consists of four priority areas and seven thematic strategies. Resources and waste are one of the four priority areas. Thematic strategies are currently under study for each area. These thematic strategies are very important towards understanding the long-term direction of EU policy.

This slide gives the 3R policy of the European Union. The "Council Directive on Waste" at the very top is looked at as setting the framework for other directives by defining waste and related keywords. Then, the "Council Directive concerning Integrated





Pollution Prevention and Control" regulates industrial activity of high environmental load, therefore waste management is also dealt with. Other than that, there are individual directives for landfill, incineration, container and packaging recycling, and so forth.

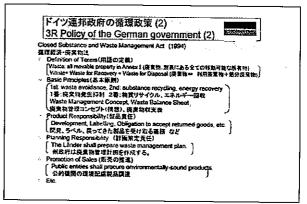
The EU has various kinds of laws, but the word "directive" is frequently used in environmental administration. In the relationship between the EU and EU nations, there is debate as to how much power the EU has over its member states. A directive binds the member states to attaining targets, but the method for doing that is determined by the laws of each country.



The overall strategy of the German Government is a "Sustainable Development Strategy". This strategy sets numerical targets and manages progress. Though Japan has governmental strategies for global warming prevention, biomass and the like, none exists for sustainable development.

The Closed Substance and Waste Management Act is the legal core of Germany's 3Rs. This law incorporates provisions on landfill, waste processors, packag-

ing and so forth.



This slide shows the makeup of the Closed Substance and Waste Management Act that lies at the center of Germany's 3R policy. It is packed with terminology definitions, basic provisions, product liabilities, waste planning responsibilities, sales promotions of eco-friendly products, and more. Accordingly, it has elements of Japan's Basic Environment Law, Waste Management and Public Cleansing Law, Law for Promotion of Effective Utilization of Resources and Law on Promoting Green Purchasing.

The basic principle is first to prevent waste from occurring. Generators of waste above a certain volume are obliged to prepare a waste management concept and waste balance sheet.

廃棄物の定義 Definition of Wastes	
Japan	Germany (EU)
・ 使棄物かどうかが議論 Point: Waste or not ・ 使棄物の定義 Definition of Wastes ・ 従業免棄物の定義 Definition of Industrial Wastes ・ 一級疫棄物の定義 Definition of Municipal Wastes (産業廃棄物以外) (Wastes other than Industrial Wastes)	・科用機業物か知理機業物かがは論 Point: "Waste for Recovery" or "Waste for Disposal" ・ 現実物分類(categories of waste). 処 理とは(disposal operation). 利用とは (recovery operation) ・ 原文 独自執信st of wastes) ① 紅葉・・からの原案物 Waste resulting from mining ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・

This slide shows how Germany and Japan define various wastes, and let me point out their differences. Germany's definition of waste carries over from the broad meaning of waste concepts of EU laws on waste.

As for this definition of waste, whereas Japan de-



bates whether or not a substance is waste, Germany mainly debates whether it is waste for recovery or waste for disposal. In categorizing waste, Japan first defines wastes, then defines industrial wastes and categorizes the remainder as municipal waste. On the other hand, Germany has a waste list that divides waste into roughly twenty categories, the twentieth of which is municipal waste. Accordingly, the wastes numbered from one to nineteen on the list in Germany equate to industrial waste in Japan.



Germany has set a target to completely phase out the burying of municipal waste by the year 2020. To attain that target, it is essential that they promote a sustainable waste industry.



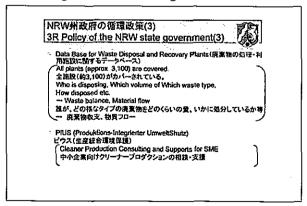
This slide shows the comprehensive environmental policy of NRW (Nordrhein-Westfalen). "Agenda 21 NRW" is an activity imparted by a state legislature initiative and participated in by not only state government but also residents and businesses. This can be looked at as equivalent to the long-term comprehensive plans of local governments in Japan.

One division in the state Ministry for the Environment is promoting "Agenda 21 NRW". This division develops activities between local governments over an international network and general undertakings for certain areas and cities.

They are promoting a sustainable industrial site project called "Nachhaltige Gewerbegebiete", which is similar in content to Japan's Eco-Town Program. They are also implementing activities for general environmental measures called "Ökologische Stadt der Zukunft".



This slide gives some specific activities of the NRW Abfallwirtshaft. Municipal waste is handled in Siedlungs Abfallwirtschaft. Pflanzenabfalle takes care of plant waste. Metal resources come under Verwertung mineralischer Abfalle, and Klarschlammverwertung makes use of sludge.



This slide is about the operations performed by agencies of NRW. A database of waste treatment and usage facilities has been built and small to medium size businesses are provided with counseling and sup-



port for cleaner production in a program called PIUS.

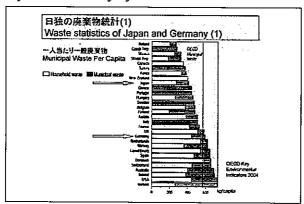


This slide shows the 3R policy and operations of Dusseldorf. Dusseldorf has a Local Agenda 21. This Agenda focuses activities on processes; it does not end after plans have been drafted.

Processors of municipal waste must by law prepare a waste concept and waste balance. Moreover, Dusseldorf provides information on waste not only to residents but also businesses.

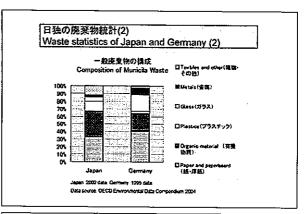
5 Japanese and German waste statistics

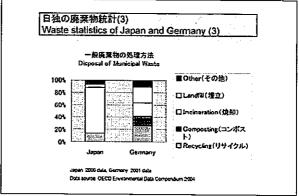
Lastly in my presentation, I would like to compare Japan and Germany by waste statistics.



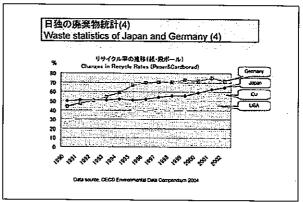
The numerical figures of this bar graph came from OECD and indicate the amount of waste per capita. The USA is one of the largest waste producing nations. Japan is still low, but Germany generates a comparatively high level of general waste.

The next graph compares the composition of general waste. In Japan, plastics are abundant, whereas in Germany, glass is abundant.

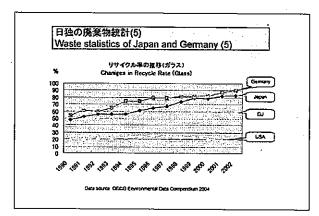




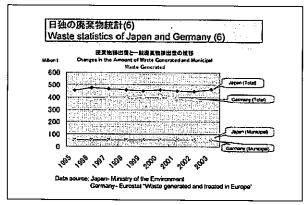
This graph shows the percentages of treatment methods of general waste. Japan uses a high level of incineration, whereas Germany turns to composting and landfill. Germany has decided to eliminate the use of landfill entirely by the year 2020.



This broken line graph compares the change in recycle rate of paper and cardboard amongst Germany, Japan, the EU and USA. Recycle rate is increasing across the board. Germany has the highest recycle rate, followed by Japan, then the EU and finally the USA.



This graph compares the change in recycle rate of glass amongst the same four countries and territories. As with paper and cardboard, Germany has the highest recycle rate, followed by Japan, the EU and the USA in that order.



This graph shows the change in industrial waste generation and general waste generation. Perhaps because Japan and Germany define it differently, I was unable to find statistical data for comparing industrial waste. Therefore, I compared the total amount of waste between industrial waste and municipal waste against the amount of waste in Germany, then came up with this graph. The difference between the total and municipal waste can be considered the industrial waste as Japan defines it.

Germany produces about 80 to 90% the total waste

of Japan. Considering Germany has about 60 to 70% of Japan's population and GDP, Germany generates more total waste than Japan, as was the case with general waste per capita. However, Germany may be producing more waste because they have a broader definition of waste.

As a conclusion, I would like to raise seven points on the 3R policies of Japan and Germany.

まとめ (Conclusions) 環境に関する政策の統合 Policy integration on the environment 持続可能性戦略アジェンダ21と長期総合計画 Sustainability strategy/Agenda 21 and Long-term comprehensive plan 廃棄物経済という概念 Concept of Abfallwirtschaft 廃棄物政策と資資・スルルギー政策の統合 Integration of Waste policy and Resource/Energy policy 物質フロー分析の進展 Development of Material Flow Analysis 地域産業の再生への取組み Approaches towards regeneration of regional industry 世界をリードする自独 Japan and Germany are leading the world.

First all, Germany and Europe are pushing integrated environmental policy. When the environment is recognized as important, it is conceivably possible to develop integrated policy on the environment. Japan, however, does not seem to have gotten that far.

Next, perhaps because Japan has had long-term comprehensive plans for some time that concepts like a sustainability strategy or agenda 21 have not spread.

Germany looks at waste as an economic issue.

Germany devises 3R policies from a total perspective by looking not just at waste as the output but also resources and energy as inputs.

Both Japan and Germany are applying material flow analysis. Both Japan and Germany are working to revive local industry in each their own way.

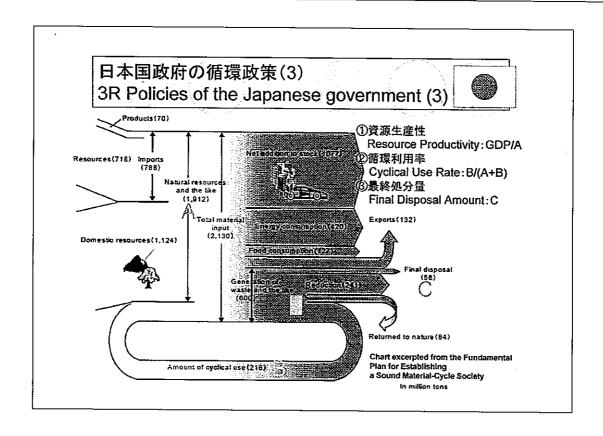
Lastly, in terms of recycle rate, Japan and Germany lead the world. Cooperation between the two is very important.

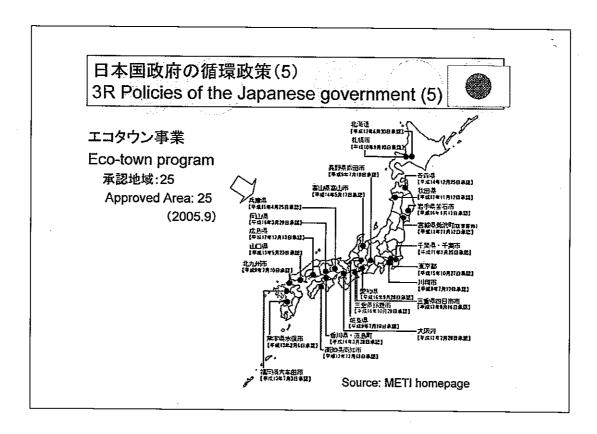


Reference sites

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- · Environment Bureau of the Hyogo Prefectural Government: http://www.pref.hyogo.jp/JPN/apr/
- · City of Himeji: http://www.city.himeji.hyogo.jp/
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- · Ministry for the Environment, NRW: http://www.munlv.nrw.de/index.html
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- · Efficiency agency, NRW: http://www.efanrw.de/
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